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#### DESCRIPTION

# THERAPEUTIC OR PREVENTIVE AGENTS FOR ISCHEMIC NEUROPATHY

## TECHNICAL FIELD

The present invention relates to therapeutic or preventive agents for ischemic nerve injury containing a compound having a xanthone skeleton or a chromene skeleton obtained by culturing Penicillium sp. SPF-3059 strain or a pharmaceutically acceptable salt thereof as an active ingredient.

#### BACKGROUND ART

It has been reported that ischemia is

involved in some cytopathic diseases of the central
nervous system and the peripheral nervous system.

Ischemic disorder is also considered to be involved in
retinal nerve diseases such as glaucoma, central
retinal artery occlusion, branch retinal artery

occlusion, central retinal vein occlusion, branch
retinal vein occlusion, ischemic optic neuropathy,
diabetic retinopathy, macular degeneration and
retinopathy of prematurity.

## (1) Glaucoma

Glaucoma is a retinal disease having an incidence of 3.5% among people not younger than 40 years old, and 1.92 million in Japan, 105 million

patients in the world are estimated to suffer from glaucoma. The main symptom is increased intra ocular pressure, and retinal atrophy and retraction of optic papilla are caused. Glaucoma results in a poor prognosis and may lead to blindness in the worst case, and it is the fourth leading cause of blindness in Japan and the patients as many as 120,000 a year are reported in the U.S.A.

Since increased intra ocular pressure is a main symptom of glaucoma, a large number of drugs 10 aiming at decreasing intra ocular pressure have been They can be classified into five types: developed. parasympathomimetic agents, sympathomimetic agents,  $\beta$ sympatholytic agents, carbonic anhydrase inhibitors and hyperosmotic agents according to the difference in the 15 site of action, but all these agents exhibit drug efficacy by decreasing an intra ocular pressure. Prognosis is still poor and the optic nerve is damaged in some cases, even when an intra ocular pressure is 20 In addition, a lot of cases of glaucoma lowered. without rise in intra ocular pressure, namely low tension glaucoma have been reported, and the number of patients with low tension glaucoma is estimated to be one million, while that of glaucoma associated with 25 rise in intra ocular pressure is estimated to be 2 to 3 millions in the U.S.A. Accordingly, drugs not aiming at decreasing intra ocular pressure but directly bringing about a protective effect to optic nerve cells

are expected.

(2) Central retinal artery occlusion

Central retinal artery occlusion is a disease developed by lodging of a thrombus at a passage point

in cribrosa lamina of the central retinal artery. As a symptom, a sudden and unilateral fall in visual acuity occurs and atrophy of the optic nerve is caused.

Unlike chronic ischemia, angiogenesis is not observed later. Because the central retinal artery is the end

artery, acute ischemia lasting for 30 to 40 minutes causes an irreversible change and leads to necrosis of the retina. Therefore, eyesight prognosis is poor in the case of complete occlusion.

Treatment is to perform eye massage

- immediately after the symptom is noticed and to try for blood flow resumption. As for drug therapy, urokinase + dextran are used for thrombolysis and prostaglandin  $E_1$  (alprostadil) for vasodilation and/or prevention of thrombogenesis.
- 20 (3) Branch retinal artery occlusion

Branch retinal artery occlusion is a disease in which a thrombus lodges at an intraocular branching part and a disorder develops only in the area controlled thereby. Treatment is performed similarly

- 25 as for central retinal artery occlusion.
  - (4) Central retinal vein occlusion / Branch retinal vein occlusion

Both of central retinal vein occlusion, which

is classified into hemorrhagic retinopathy and venous stasis retinopathy according to the presence or absence of hemorrhage, and branch retinal vein occlusion are caused by a thrombus which occurred in the cribrosa lamina.

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Hemorrhagic retinopathy occurs common by among elderly people, and is caused by arterial sclerosis in more than half. As a symptom, flame-like hemorrhage spreads along running of the nerve fibers from to optic papilla in the retina cortex, and remarkable decrease of eyesight is caused.

As treatment, urokinase + dextran are used similarly as for central retinal artery occlusion and carbazochrome sodium sulfonate or adrenochrome

15 guanylhydrazone mesilate is used for blood vessel reinforcement. Laser photocoagulation is also performed for preventing macula retinae edema or angiogenesis.

As for venous stasis retinopathy, one caused by inflammation and one caused by arterial sclerosis are known, and the former is common among young people while the latter is common among elderly people. As a symptom, strong dilation and meandering of the veins and further, flare of the optic papilla occur, but a decrease in visual acuity is slight as compared with the hemorrhagic type.

As treatment, dry therapy the same as that for the hemorrhagic type is performed, but laser

photocoagulation is not adopted.

# (5) Ischemic optic neuropathy

Ischemic optic neuropathy is a disease in which necrosis of the optic nerves occur by occlusion of nutrient blood vessels and dysfunction in visual performance appears, and it is also called as anterior ischemic optic neuropathy.

It is divided, according to causes, into temporal arteritis and idiopathic ischemic optic neuropathy developed by systemic diseases such as arterial sclerosis, hypertension and diabetes mellitus.

Only drug therapy is adopted. A steroid (prednisolone) is used to improve blood flow around the cribrosa lamina, an intra ocular pressure depressant (carbonic anhydrase inhibitor, acetazolamide) is used to reduce edema, and vitamin  $B_1$  and  $B_{12}$  are used to stimulate the nerve.

## (6) Diabetic retinopathy

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Diabetic retinopathy develops following

20 hyperglycemia persisting for several years or more,
which causes occlusion of minute vessels from
degeneration of endothelial cells of the minute
vessels, necrosis, thrombogenesis, and enhancement
blood clotting ability and leads to an ischemic state.

This disease occurs in 39% of patients with insulindependent disease and 47% of patients with insulinindependent disease, and the number of patients in Japan is estimated to be about 600 thousand. As treatment, a glucose level is systemically controlled at the simple stage, a blood vessel enhancing agent and a streptokinase streptodornase (Varidase) are adopted in the case associated with hemorrhage, and panretinal photocoagulation is performed at the preproliferative stage. Furthermore, an operation is performed on the vitreous body when traction retinal detachment occurs in the proliferative stage. No drugs aiming at protection of the retinal nerve are available, and tocopherol calcium succinate (vitamin E), and tocopherol acetate (Juvela) are adopted only secondarily. Therefore, development of a new drug alleviating ischemia disorder is expected.

## (7) Macular degeneration

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- Macular area is the retinal part at which the optical axis passes and is an important area carrying the center of viewing field and deciding visual acuity. Macular degeneration is a generic designation of symptoms which cause abnormality on this part, and five diseases are mainly known. That is, it is classified into central serious chorioret, central exudative chorioretinopathy, senile disciform macular degeneration, senile atrophic macular degeneration and idiopathic vitreoretinal interface maculopathy.
- 25 Central serious chorioret and central exudative chorioretinopathy are diseases in which serous fluid or hemorrhage (exudate) passes through degenerated retinal pigmentary epithelial cells and

stays below the retina.

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Senile disciform macular degeneration is a disease which causes exudative alteration and hemorrhage in the macular area and angiogenesis from choroid while senile atrophic macular degeneration causes no observable exudative alteration and is characterized by atrophy of retinal pigmentary epithelial cells.

In idiopathic vitreoretinal interface

10 maculopathy, a transparent or opaque preretinal

membrane is formed in the macular area, and blood

vessels running to the macular area become serpiginous.

Since each of the diseases is caused by vascular disorder, except for senile atrophic macular degeneration, they may be attributable to ischemic injury.

As treatment, photocoagulation is basically performed when angiogenesis occurs, and as drug therapy, a highly penetrating agent (isosorbyl and 20 glycerine) is used for absorption of the serous fluid below the retina, kallidinogenase is used to improve circulation in the retina and choroid, streptokinase streptodornase (Varidase) is used for vasodilation, and further, vitamins and steroids are adopted, but there is no drug acting on the retinal nerve cells, and development of a new drug is expected.

(8) Retinopathy of prematurity

Retinopathy of prematurity is caused by high

concentration oxygenation to a prematurely born child, which causes obliterative alteration in the peripheral region of the immature retinal vessels and leads to anoxia after the oxygenation is terminated. There is no treatment but symptomatic treatment such as cryocoagulation, and there is no drug for radical remedy, and therefore, development of a new drug which reduces disorder at the time of ischemia is expected.

In addition, injury by ischemia is also

involved in cranial nerve diseases or cerebrovascular disorder such as cerebral embolism, transient cerebral ischemia, subclavian steal syndrome, Wallenberg syndrome (lateral medullary syndrome), cerebral thrombosis, lacunar infarct, reversible ischemic

neurological deficit, cerebral infarction, moyamoya disease (occlusion of the circle of Willis), hypoxic encephalopathy, sinus venosus thrombosis and postoperative spinal cord ischemia, and development of a new drug which reduces injury at the time of ischemia in these diseases is expected.

In the meantime, semaphorin is an endogenous protein identified as a factor which collapses the nerve growth cone and suppresses axon elongation, and so far, about 20 molecular species have been reported and the most studied is the gene group of a subfamily called the class III type. The proteins encoded by these genes are known to possess intensive neurite outgrowth suppressing activity and growth cone collapse

activity in vitro. Among them, semaphorin 3A (Sema3A) is the most studied (See Cell 75, p217, 1993, Cell 75, p1389, 1993) and is known to induce growth cone collapse of the cultured nerve cells at as low as 10 pm concentration in a short period of time. It is also known that anti-semaphorin 3A antibody suppresses cell death (apoptosis) of retinal gangliocyte in a rat optic nerve axotomy model, (See The Journal of Biological Chemistry, 277, p49799 (2002)). The above model is an 10 injury disorder model in which only the axons (a nerve fiber) are cut off, and nerve vasculature or blood supply to the optic nerve is not damaged. Furthermore, it is known that expression of semaphorin is elevated in the retina of the congenital glaucoma model using a 15 rabbit (See Graefe's Archive for Clinical and Experimental Ophthalmology, 241, p673 (2003)). meantime, it is known that mRNA of semaphorin 3A is expressed in the middle cerebral artery occlusion rat model (See Brain Research, 914, pl (2001)).

It is known that a series of xanthone compounds has semaphorin inhibitory activity and has a nerve regeneration acceleration effect (See WOO2/09756, WOO3/062243 and WOO3/062440). It has not been known, however, that these compounds having semaphorin inhibitory activity suppress cell death (apoptosis) at the time of ischemia, for example, cell death of the retinal nerves and nerve cell death in the brain, and show an excellent effect as a therapeutic or preventive

agent effective for ischemic injury.

## DISCLOSURE OF THE INVENTION

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the retina.

An object of the present invention is to provide a therapeutic or preventive agent for nerve injury associated with ischemic injury.

The present inventors have found that a compound having semaphorin inhibitory activity suppresses nerve cell death involved with ischemic injury and is useful as a therapeutic or preventive agent for ischemic nerve injury.

That is, intra ocular pressure was increased by loading a pressure of about 150 mmHg on the anterior chamber of a rat with a sphygmomanometry device to prepare a model animal in which an ischemic injury was caused. When a compound having a semaphorin inhibitory activity obtained by culturing Penicillium sp. SPF-3059 strain was administered to the model animal, an excellent therapeutic effect was shown. Accordingly, the compound having a semaphorin inhibitory activity has been found to be effective as a therapeutic or preventive agent for ischemic injury, preferably as a therapeutic or preventive agent for ischemic injury in

That is, the present invention relates to

25 [1] A therapeutic or preventive agent for
ischemic nerve injury containing a compound having a
semaphorin inhibitory activity which is obtained by

culturing Penicillium sp. SPF-3059 strain and represented by formula [1]:

$$R^2$$
 $R^1$ 
 $Q$ 
 $R^7$ 
 $R^8$ 
 $R^8$ 

wherein a dashed line represents a single bond or double bond, and R<sup>1</sup> represents a hydrogen atom, a

5 carboxy group or an alkoxycarbonyl group, and R<sup>2</sup> represents a hydrogen atom, a hydroxyl group or an acyloxy group, and R<sup>7</sup> and R<sup>8</sup> independently represent a hydrogen atom or an organic group; a derivative thereof or a pharmaceutically acceptable salt thereof as an

- 10 active ingredient;
  - [2] The therapeutic or preventive agent according to above [1] characterized in that the compound represented by formula [1] is any of the following (1) to (3):
- (1) the dashed line in formula [1] represents a single bond,  $R^7$  represents a hydrogen atom, and  $R^8$  represents formula [16]:

wherein  $R^4$  represents a hydrogen atom, a carboxy group or an alkoxycarbonyl group, and  $R^5$  represents a hydrogen atom, a hydroxyl group or an acyloxy group;

(2) the dashed line in formula [1] represents a double bond,  $R^7$  represents a hydrogen atom, and  $R^8$  represents formula [17]:

wherein  $R^4$  and  $R^5$  have the same meanings as above; and

(3) The dashed line in formula [1] represents a double bond, and  $R^7$  represents formula [18]:

wherein  $R^4$  and  $R^5$  have the same meanings as above; and  $R^8$  represents a hydrogen atom, a methoxymethyl group or formula [15]:

wherein  $R^6$  represents a hydrogen atom, a carboxy group or an alkoxycarbonyl group;

[3] The therapeutic or preventive agent according to above [2] wherein the compound represented by formula [1] is a compound represented by formula [2]:

$$\begin{array}{c} \text{Me} \rightarrow \text{O} \\ \text{HO} \rightarrow \text{O} \\ \text{R}^2 \rightarrow \text{R}^5 \end{array}$$

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

- [4] The therapeutic or preventive agent according to above [3] characterized in that R<sup>1</sup> and R<sup>4</sup> represent a carboxy group, and R<sup>2</sup> and R<sup>5</sup> represent a hydroxyl group in formula [2];
  - [5] The therapeutic or preventive agent according to above [2] wherein the compound represented by

formula [1] is a compound represented by formula [3]:

HO 
$$R^2$$
  $R^1$   $O$   $Me$   $O$   $OH$   $Me$   $OH$   $R^5$   $R^5$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

- [6] The therapeutic or preventive agent according to above [5] characterized in that R<sup>1</sup> represents a carboxy group or a hydrogen atom, R<sup>4</sup> represents a carboxy group, and R<sup>2</sup> and R<sup>5</sup> represent a hydroxyl group in formula [3];
- [7] The therapeutic or preventive agent according to above [2] wherein the compound represented by formula [1] is a compound represented by formula [4]:

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2], and  $R^3$  represent a hydrogen atom, a methoxymethyl group or formula [15]:

$$\begin{array}{c|c}
H & O-CH_2 & O \\
HO & CH_2 & \\
HO & R^6 & Me
\end{array}$$

wherein  $R^6$  represent a hydrogen atom, a carboxy group or an alkoxycarbonyl group;

[8] The therapeutic or preventive agent according to above [1] wherein the compound represented by 5 formula [1] is a compound represented by formula [5]:

wherein R<sup>4</sup> and R<sup>5</sup> have the same meanings as in [2];
[9] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [6]:

$$R^2$$
 $R^1$ 
 $O$ 
 $OHO$ 
 $Me$ 
 $O$ 
 $Me$ 
 $OHO$ 
 $O$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

5 [10] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [7]:

$$R^{2}$$
 $R^{1}$ 
 $R^{2}$ 
 $R^{5}$ 
 $R^{5}$ 
 $R^{5}$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

10 [11] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [8]:

$$R^{4}$$
 OH  $R^{5}$  OH  $R^{2}$   $R^{1}$   $R^{1}$   $R^{2}$   $R^{3}$   $R^{4}$   $R^{5}$   $R^{5}$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

[12] The therapeutic or preventive agent according to above [1] wherein the compound represented by 5 formula [1] is a compound represented by formula [9]:

$$R^{2}$$
 $R^{1}$ 
 $O$ 
 $Me$ 
 $Me$ 
 $Me$ 
 $Me$ 
 $Me$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

[13] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [10]:

$$R^{2}$$
 $R^{1}$ 
 $R^{2}$ 
 $R^{2}$ 
 $R^{3}$ 
 $R^{4}$ 
 $R^{5}$ 
 $R^{5}$ 
 $R^{6}$ 
 $R^{1}$ 
 $R^{2}$ 
 $R^{2}$ 
 $R^{4}$ 
 $R^{5}$ 
 $R^{6}$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2]);

[14] The therapeutic or preventive agent according to above [1] wherein the compound represented by 5 formula [1] is a compound represented by formula [11]:

wherein R<sup>1</sup> and R<sup>2</sup> have the same meanings as in [1];
[15] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [12]:

$$\begin{array}{c} \text{Me} \\ \text{O} \\ \text{HO} \\ \text{R}^2 \\ \text{R}^1 \\ \text{O} \end{array} \begin{array}{c} \text{O} \\ \text{O} \\ \text{R}^4 \\ \text{R}^5 \end{array} \qquad \begin{bmatrix} 12 \end{bmatrix}$$

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

[16] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [13]:

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$$R^2$$
 $HO$ 
 $Me$ 
 $OH$ 
 $R^4$ 
 $R^5$ 
 $Me$ 
 $OH$ 
 $R^4$ 
 $R^5$ 

wherein  $R^1$ ,  $R^2$ ,  $R^4$  and  $R^5$  have the same meanings as in [1] or [2];

[17] The therapeutic or preventive agent according to above [1] wherein the compound represented by formula [1] is a compound represented by formula [14]:

wherein R<sup>1</sup> and R<sup>2</sup> have the same meanings as in [1];
[18] The therapeutic or preventive agent according to any of above [1] to [17] wherein the ischemic nerve injury is retinal neuropathy;

- The therapeutic or preventive agent according to above [18] wherein the retinal neuropathy is glaucoma, diabetic retinopathy, macular degeneration or retinopathy of prematurity; and
- [20] The therapeutic or preventive agent according to any of above [1] to [17] wherein the ischemic nerve injury is cerebral embolism, transient cerebral ischemia, subclavian steal syndrome, Wallenberg syndrome (lateral medullary syndrome), cerebral thrombosis, lacunar infarct, reversible ischemic
- neurological deficit, cerebral infarction, moyamoya disease (occlusion of the circle of Willis), hypoxic encephalopathy, sinus venosus thrombosis or postoperative spinal cord ischemia.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows the result of measuring the thickness of outer nuclear layer (ONL) [Student's t-test, compared to (IOP increase load +PBS administered) Group, \*:<0.05 ]. IOP is an abbreviation of Intra

- Ocular Pressure and means intra ocular pressure, and PBS is an abbreviation of Phosphate buffered saline. The meanings of the numbers of the abscissa axis are as follows. That is, 1: normal intra ocular pressure; 2: intra ocular pressure (IOP) increase load +PBS
- administration; 3: IOP increase load + SPF-3059-1 preadministration treatment; 4: IOP increase load + SPF3059-1 post-administration treatment. It can be
  understood from Fig. 1 that the increased intra ocular
  pressure load or the administration of SPF-3059-1 does
  not have an influence on the outer nuclear layer;

Fig. 2 shows the result of measuring the thickness of inner nuclear layer (INL) [Student's t-test, compared to (IOP increase load +PBS administered) Group,\*:<0.05]. The meanings of the numbers 1 to 4 of the abscissa axis are the same as in Fig. 1. It can be understood from Fig. 2 that the thickness of INL which is made thinner by increased intra ocular pressure load is suppressed by administration of a drug;

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Fig. 3 shows the result of measuring the

25 thickness of the inner plexus layer (IPL) [Student's ttest, compared to (IOP increase load +PBS administered)

Group,\*:<0.05]. The meanings of the numbers 1 to 4 of
the abscissa axis are the same as in Fig. 1. It can be

understood from Fig. 3 that the thickness of IPL which is made thinner by increased intra ocular pressure load is suppressed by administration of a drug;

Fig. 4 is a photograph of the retinal section 5 dyed with 0.5% cresylviolet;

- (1) Normal intra ocular pressure;
- (2) Intra ocular pressure (IOP) increase load
  +PBS administration;
- (3) IOP increase load + SPF-3059-1 pre-10 administration treatment;
  - (4) IOP increase load + SPF-3059-1 postadministration treatment;

Fig. 5 shows the result of measuring the thickness of outer nuclear layer (ONL) [Student's t15 test, compared to (IOP increase load +PBS administered)
Group,\*:<0.05]. The meanings of the numbers of the abscissa axis are as follows. That is, 1: normal intra ocular pressure; 2: intra ocular pressure (IOP)
increase load +PBS administration; 3: IOP increase load
20 + SPF-3059-5 pre-administration treatment; 4: IOP
increase load + SPF-3059-5 post-administration
treatment. It can be understood from Fig. 5 that the increased intra ocular pressure load or the administration of SPF-3059-5 does not have an influence
25 on the outer nuclear layer;

Fig. 6 shows the result of measuring the thickness of inner nuclear layer (INL) [Student's t-test, compared to (IOP increase load +PBS administered)

Group, \*:<0.05 ]. The meanings of the numbers 1 to 4 of the abscissa axis are the same as in Fig. 5. It can be understood from Fig. 6 that the thickness of INL which is made thinner by increased intra ocular pressure load is suppressed by administration of a drug;

Fig. 7 shows the result of measuring the thickness of inner plexus layer (IPL) [Student's t-test, compared to (IOP increase load +PBS administered) Group\*:<0.05]. The meanings of the numbers 1 to 4 of the abscissa axis are the same as in Fig. 5. It can be understood from Fig. 7 that the thickness of IPL which is made thinner by increased intra ocular pressure load is suppressed by administration of a drug; and

Fig. 8 is a photograph of the retinal section dyed with 0.5% cresylviolet;

- (1) Normal intra ocular pressure;
- (2) Intra ocular pressure (IOP) increase load
  +PBS administration;
- (3) IOP increase load + SPF-3059-5 pre-20 administration treatment;
  - (4) IOP increase load + SPF-3059-5 postadministration treatment.

## BEST MODE FOR CARRYING OUT THE INVENTION

In the present specification, an

25 alkoxycarbonyl group represent a linear or branched alkoxycarbonyl group having 2 to 7 carbon atoms and specific examples thereof include a methoxycarbonyl group, an ethoxycarbonyl group, a propoxycarbonyl group, 1-methylethoxycarbonyl group, a butoxycarbonyl group, 1-methylpropoxycarbonyl group, a 2-methylpropoxycarbonyl group, a 1,1-

5 dimethylethoxycarbonyl group, a pentyloxycarbonyl group and a hexyloxy carbonyl group.

In the present specification, an acyloxy group represent a linear or branched acyloxy group having 2 to 6 carbon atoms and specific examples thereof include an acetoxy group, a propionyloxy group, a butyryloxy group and a pivaloyloxy group.

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Compounds having a semaphorin inhibitory activity which are obtained by culturing Penicillium sp. SPF-3059 strain and represented by formula [1] include a series of compounds having a semaphorin inhibitory activity disclosed in WO02/09756 or WO03/062243. Examples of derivatives of the compound include a series of xanthone derivatives disclosed in WO03/062440.

There is not limitation in particular on the organic group in  $R^7$  and  $R^8$ , and  $R^7$  and  $R^8$  may connect to each other to form a saturated or unsaturated ring structure in formula [1].

In a compound represented by formulae [1], [2], [3], [4] and [6], R<sup>1</sup> represents a hydrogen atom, a carboxy group or an alkoxycarbonyl group. The alkoxycarbonyl group includes an alkoxycarbonyl group having 2 to 4 carbon atoms such as a methoxycarbonyl

group, an ethoxycarbonyl group, and a propoxycarbonyl group, and among them a methoxycarbonyl group is preferable. In particular, R<sup>1</sup> in formulae [2], [3] and [6] preferably represents a hydrogen atom or a carboxy group, and R<sup>1</sup> in formula [4] preferably represents a hydrogen atom, a carboxy group or a methoxycarbonyl group and more preferably represents a hydrogen atom or a carboxy group.

R<sup>2</sup> in formulae [1], [2], [3], [4] and [6]

10 represents a hydrogen atom, a hydroxyl group or an acyloxy group and preferably represents a hydrogen atom or a hydroxyl group. The above acyloxy group includes an acetoxy group, a propionyloxy group, and a pivaloyloxy group.

15 R<sup>3</sup> in formula [4] represents a hydrogen atom, a methoxymethyl group or a group shown in above formula [15].

R<sup>6</sup> in formula [15] represents a hydrogen atom, a carboxy group or an alkoxycarbonyl group. The above alkoxycarbonyl group includes a methoxycarbonyl group, an ethoxycarbonyl group and a propoxycarbonyl group, and among them a methoxycarbonyl group is preferable. Preferably R<sup>6</sup> represents a hydrogen atom or a carboxy group.

In addition, in formulae [16], [17], [18], [2], [3], [4], [5] and [6], R<sup>4</sup> represents a hydrogen atom, a carboxy group or an alkoxycarbonyl group. The above alkoxycarbonyl group includes a methoxycarbonyl

group, an ethoxycarbonyl group, and a propoxycarbonyl group, and a methoxycarbonyl group is preferable above all. Preferably  $R^4$  represents a hydrogen atom or a carboxy group.

In addition, in formulae [16], [17], [18], [2], [3], [4], [5] and [6], R<sup>5</sup> represents a hydrogen atom, a hydroxyl group or an acyloxy group. The above acyloxy group includes an acetoxy group, a propionyloxy group, and a pivaloyloxy group. Preferably R<sup>5</sup> represents a hydrogen atom or a hydroxyl group.

In formulae [7] to [10], [12] to [13], R<sup>1</sup> and R<sup>4</sup> independently represent a hydrogen atom, a carboxy group or an alkoxycarbonyl group. The above alkoxycarbonyl group includes a methoxycarbonyl group, an ethoxycarbonyl group, and a propoxycarbonyl group, and a methoxycarbonyl group is preferable above all. Preferably R<sup>1</sup> and R<sup>4</sup> represent a hydrogen atom or a carboxy group. On the other hand, R<sup>2</sup> and R<sup>5</sup> independently represent a hydrogen atom, a hydroxyl group or an acyloxy group. The above acyloxy group includes an acetoxy group, a propionyloxy group, and a pivaloyloxy group. Preferably R<sup>2</sup> and R<sup>5</sup> represent a hydrogen atom or a hydroxyl group.

In formulae [11] and [14], R<sup>1</sup> represents a

25 hydrogen atom, a carboxy group or an alkoxycarbonyl
group. The above alkoxycarbonyl group includes a
methoxycarbonyl group, an ethoxycarbonyl group, and a
propoxycarbonyl group, and a methoxycarbonyl group is

preferable above all. Preferably  $R^1$  represents a hydrogen atom or a carboxy group. On the other hand,  $R^2$  represents a hydrogen atom, a hydroxyl group or acyloxy group. The above acyloxy group includes an acetoxy group, a propionyloxy group, and a pivaloyloxy group. Preferably  $R^2$  represents a hydrogen atom or a hydroxyl group.

The compound represented by formula [2] specifically includes SPF-3059-1 in which  $R^1$  and  $R^4$ represent a carboxy group and R<sup>2</sup> and R<sup>5</sup> represent a 10 hydroxyl group; SPF-3059-3 in which  $R^1$  represents a carboxy group,  $R^4$  represents a hydrogen atom and  $R^2$  and  ${\rm R}^{\rm 5}$  represent a hydroxyl group; SPF-3059-7 in which  ${\rm R}^{\rm 1}$ and R4 represent a carboxy group, R2 represents a hydroxyl group and  $R^5$  represents a hydrogen atom; SPF-15 3059-9 in which  $R^1$  represents a hydrogen atom,  $R^4$ represents a carboxy group and R2 and R5 represent a hydroxyl group; or SPF-3059-30 in which  $\ensuremath{\mbox{R}^1}$  and  $\ensuremath{\mbox{R}^4}$ represent a hydrogen atom, and R<sup>2</sup> and R<sup>5</sup> represent a hydroxyl group. 20

The compound represented by formula [3] specifically includes SPF-3059-2 in which R<sup>1</sup> represents a carboxy group, R<sup>4</sup> represents a hydrogen atom and R<sup>2</sup> and R<sup>5</sup> represent a hydroxyl group; SPF-3059-5 in which R<sup>1</sup> and R<sup>4</sup> represent a carboxy group and R<sup>2</sup> and R<sup>5</sup> represent a hydroxyl group; SPF-3059-4 in which R<sup>1</sup> and R<sup>4</sup> represent a carboxy group, R<sup>2</sup> represents a hydroxyl group and R<sup>5</sup> represents a hydrogen atom; SPF-3059-12 in

which R<sup>1</sup> and R<sup>4</sup> represent a carboxy group, R<sup>2</sup> represents a hydrogen atom and R<sup>5</sup> represents a hydroxyl group; SPF-3059-24 in which R<sup>1</sup> represents a hydrogen atom, R<sup>4</sup> represents a carboxy group and R<sup>2</sup> and R<sup>5</sup> represent a hydroxyl group; SPF-3059-25 in which R<sup>1</sup> represents a hydrogen atom, R<sup>4</sup> represents a carboxy group, R<sup>2</sup> represents a hydroxyl group and R<sup>5</sup> represents a hydrogen atom; or SPF-3059-26 in which R<sup>1</sup> and R<sup>4</sup> represent a hydroxyl group.

10 The compound represented by formula [4] specifically includes SPF-3059-6 in which  $R^1$  and  $R^4$ represent a carboxy group,  $R^2$  and  $R^5$  represent a hydroxyl group and R<sup>3</sup> represents a methoxymethyl group; SPF-3059-28 in which R<sup>1</sup> and R<sup>4</sup> represent a carboxy group,  $R^2$  and  $R^3$  represent a hydrogen atom and  $R^5$ 15 represents a hydroxyl group; SPF-3059-29 in which R1 represents a carboxy group, R4 represents a hydrogen atom,  $R^2$  and  $R^5$  represent a hydroxyl group and  $R^3$ represents a methoxymethyl group; SPF-3059-35 in which  ${\ensuremath{\mathsf{R}}}^1$  represents a methoxycarbonyl group,  ${\ensuremath{\mathsf{R}}}^4$  represents a carboxy group and R<sup>2</sup> and R<sup>3</sup> represent a hydrogen atom and  $R^5$  represents a hydroxyl group; SPF-3059-37 represented by the following formula [19]:

SPF-3059-39 in which  $R^1$  and  $R^4$  represent a carboxy group,  $R^2$  and  $R^5$  represent a hydroxyl group and  $R^3$ represents a hydrogen atom; or SPF-3059-42 represented by the following formula [20]:

The compound represented by formula [5] 5 specifically includes SPF-3059-27 in which  $R^4$  represents a carboxy group and  $R^5$  represent a hydroxyl group; or

SPF-3059-36 in which  $R^4$  represents a hydrogen atom and  $R^5$  represents a hydroxyl group.

The compound represented by formula [6] specifically includes SPF-3059-34 in which  $R^1$  represent a hydrogen atom,  $R^4$  represents a carboxy group and  $R^2$  and  $R^5$  represent a hydroxyl group.

The compound represented by formula [7] specifically includes SPF-3059-8 in which R<sup>1</sup> and R<sup>4</sup> represent a carboxy group, R<sup>2</sup> and R<sup>5</sup> represent a 10 hydroxyl group; or SPF-3059-20 in which R<sup>1</sup> and R<sup>4</sup> represent a carboxy group, R<sup>2</sup> represents a hydrogen atom and R<sup>5</sup> represents a hydroxyl group.

The compound represented by formula [8] specifically includes SPF-3059-16 in which  $R^1$  and  $R^4$  represent a carboxy group, and  $R^2$  and  $R^5$  represent a hydroxyl group.

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The compound represented by formula [9] specifically includes SPF-3059-17 in which  $R^1$  and  $R^4$  represent a carboxy group, and  $R^2$  and  $R^5$  represent a hydroxyl group.

The compound represented by formula [10] specifically includes SPF-3059-19 in which  $R^1$  and  $R^4$  represent a carboxy group, and  $R^2$  and  $R^5$  represent a hydroxyl group.

The compound represented by formula [11] specifically includes SPF-3059-22 in which  $R^1$  represents a carboxy group, and  $R^2$  represents a hydroxyl group.

The compound represented by formula [12]

specifically includes SPF-3059-38 in which  $R^1$  and  $R^4$  represent a carboxy group, and  $R^2$  and  $R^5$  represent a hydroxyl group.

The compound represented by formula [13] specifically includes SPF-3059-23 in which  $R^1$  and  $R^4$  represent a carboxy group, and  $R^2$  and  $R^5$  represent a hydroxyl group.

10

15

The compound represented by formula [14] specifically includes SPF-3059-40 in which  $R^1$  represents a carboxy group, and  $R^2$  represents a hydroxyl group.

The compounds represented by formulae [1] to [14] mentioned above can be obtained from a culture of Penicillium sp. SPF-3059 strain using semaphorin inhibitory activity as an indicator. In addition, they can be identified using semaphorin inhibitory activity as an indicator from the compounds produced by a known conversion method or a known synthesis method from the thus obtained compounds having semaphorin inhibitory activity.

As for the compounds represented by formulae
[1] to [14], salts thereof and derivatives thereof,
preferably salts and derivatives which are
pharmaceutically acceptable or as a veterinarian
medicine are included in the scope of the present

25 invention. Here, the salts include an inorganic base
salt such as sodium salt, potassium salt, calcium
sodium, magnesium salt, aluminum salt and ammonium
salt, an organic base salt such as triethylammonium

salt, triethanol ammonium salt, pyridinium salt and diisopropyl ammonium salt, a salt of a basic amino acid such as arginine and lysin. The derivatives include those in which a carboxy group and a hydroxyl group of the compound are converted into an ester group, and, for example, derivatives in which a hydroxyl group is acylated with an acyl group having 2 to 5 carbon atoms such as an acetyl group, a propionyl group, and derivatives in which a carboxy group is converted into an ester having 2 to 5 carbon atoms such as a methyl ester and an ethyl ester. With regard to the above derivatives, for example, methods described in W003/062440 can be referred to.

The compounds represented by formulae [1] to 15 [14] mentioned above can be effectively obtained by culturing a fungus SPF-3059 strain belonging to the genus Penicillium each separated from the soil in Osaka [This strain was deposited on Jul. 13, 2001 to the International Patent Organism Depositary, the National Institute of Advanced Industrial Science and Technology, an independent administrative institution of Ministry of Economy, Trade and Industry (Central 6, 1-1 Higashi 1-chome, Tsukuba, Ibaraki 305-8566, Japan) under the accession number FERM BP-7663 as the 25 International Deposition Number under the Budapest Treaty on the international recognition of the deposit of microorganisms for the purpose of patent procedure], and can be obtained according to methods described in

WO02/09756 or WO03/062243.

The compounds of the present invention having semaphorin inhibitory activity showed inhibitory effect on nerve cell death by ischemic disorder, and therefore, they can be used as a therapeutic agent or preventive agent for ischemic neurological diseases. The ischemic neurological diseases as used herein include retinal neuropathy by ischemia or ischemic cerebrovascular diseases. Examples of the retinal neuropathy as used herein include glaucoma, central 10 retinal artery occlusion, branch retinal artery occlusion, central retinal vein occlusion, branch retinal vein occlusion, ischemic optic neuropathy, diabetic retinopathy, macular degeneration, retinopathy 15 of prematurity, and, above all, diabetic retinopathy is preferable. Examples of the ischemic cerebrovascular diseases include cerebral embolism, transient cerebral ischemia, subclavian steal syndrome, Wallenberg syndrome (lateral medullary syndrome), cerebral 20 thrombosis, lacunar infarct, reversible ischemic neurological deficit, cerebral infarction, moyamoya disease (occlusion of the circle of Willis), hypoxic encephalopathy, sinus venosus thrombosis or postoperative spinal cord ischemia. The compound of the present invention has retinal nerve protective action and is particularly effective for treatment or prevention of retinal neuropathy by ischemia.

To the therapeutic or preventive agent for

ischemic nerve injury of the present invention, various dispensing formulation ingredients may be added such as pharmaceutically acceptable ordinary carriers, binders, stabilizers, excipients, diluents, pH buffers,

5 disintegrating agents, solubilizers, dissolving coadjuvants, isotonic agents or the like. Besides, the therapeutic or preventive agent can be administered either orally or parenterally. In other words, they can be administered in usual administration means, for example, they can be orally administered in agent forms such as tablet, pill, powder, granule, capsule, syrup,

They can be parenterally administered agent forms such as intravenous injection (drops),

intramuscular injection, subcutaneous injection, eye drop, eye ointment.

emulsion, suspension liquid.

Solid preparations such as tablets can be prepared by mixing an active ingredient with a pharmacologically acceptable ordinary carrier or

20 excipient such as lactose, sucrose, corn starch, a binder such as hydroxypropylmethyl cellulose, polyvinylpyrrolidone, hydroxypropylcellulose, a disintegrating agent such as carboxymethylcellulose sodium or sodium carboxymethyl starch, a lubricant such as, stearic acid or magnesium stearate or a preservative, etc.

For parenteral administration, the active ingredient is dissolved or suspended in a

physiologically acceptable carrier such as water, physiological saline solution, oil, aqueous glucose solution, which may contain an emulsifier, a stabilizer, a salt for osmoregulation or a buffer as an adjuvant as required. As an additive for eye drop, an isotonizing agent such as glycerine or sodium chloride, a buffer such as phosphoric acid or citric acid, a pH regulator such as hydrochloric acid or sodium hydroxide, a thickener such as hydroxypropylmethyl cellulose or polyvinyl alcohol, a preservative such as benzethonium chloride or a solubilizer may be contained as required. Examples of additives for eye ointment include petrolatum, polyethylene glycol, purified lanolin, and liquid paraffin.

Although dosage and frequency of administration vary depending on the method of administration and the age, weight, medical conditions or the like of a patient, local administration to the site of disease is preferable. It is preferable to 20 administer once, twice or more per day. When it is administered twice or more, it is desirably administered repeatedly every day or at appropriate intervals.

Dosage may be several hundred µg to 2 g,

25 preferably 5 to 100 mg, more preferably less than
several tens mg per dose per adult patient as the
active ingredient, and it can be administered once a
day or divided into several times a day. When

parenterally administered, dosage may be 0.1 to 100 mg, more preferably 0.3 to 50 mg per day per an adult patient and it can be administered once a day or divided into several times a day. In order to reduce administration frequency, a sustained release preparation may be used. When used as an eye drop, 0.01 to 10 w/v%, preferably 0.05 to 5 w/v% per adult patient as the active ingredient can be used and it is desirable to administer one to several drops per dose, 1 to 6 times a day, depending on the condition. When used as an eye ointment, 0.01 to 10 w/w%, preferably

10 1 to 6 times a day, depending on the condition. When used as an eye ointment, 0.01 to 10 w/w%, preferably 0.1 to 5 w/w% as the active ingredient can be used and it is preferably to administer 1 to 6 times a day, depending on the condition.

In addition, the therapeutic or preventive agent for ischemic nerve injury of the present invention can be also used as veterinary drug.

## Examples

The present invention is now explained in

20 details by way of examples but the technical scope of
the invention is not to be limited to these examples.

Example 1

# Pharmacological effect on an increased intra ocular pressure model

25 The retinal nerve consists of the extraretinal granular layer, granule cells in the retina and the gangliocyte layer, and granule cells in

the retina and the gangliocyte layer receive blood supply from the central retinal artery. When pressure is imposed on the anterior chamber, a load is imposed on the cribrosa lamina which is not covered with the sclera and the central retinal artery which extends through this area is occluded, and as a result, granule cells in the retina and the gangliocyte layer becomes ischemic, and cell death occurs.

The damage of granule cells in the retina can

10 be readily evaluated as "the thickness of the inner
nuclear layer (INL)" representing the change in number
of the cells. Since the inner plexus layer, synapses
between each neurons, is also damaged by the increase
in the intra ocular pressure and made thinner, the

15 thickness of the inner plexus layer (IPL) becomes an
indicator to show the degree of damage. Therapeutic or
preventive agents for retinal neuropathy having a
suppressive effect have been explored using these two
parameters as indices.

#### 20 Test method

(1) Experimental animal

20 SD rats (6-week old) were used and divided into the following groups.

Sham operation (sometimes abbreviated as Sham ope

25 hereinbelow): Five rats (Of these, 4 rats were used for analysis)

IOP (Intra Ocular pressure) treatment +PBS (5  $\mu$ l was administered to the vitreous body): Five rats (Of

these, 4 rats were used for analysis) IOP (Intra Ocular pressure) treatment + test compound (5 $\mu$ l was pre-administered to the vitreous body): Five rats (Of these, 4 rats were used for analysis)

- 5 IOP (Intra Ocular pressure) treatment + test compound (5 μl was post-administered to the vitreous body): Five rats (Of these, 4 rats were used for analysis)
  - (2) Increase in intra ocular pressure

By the following steps, increased intra

10 ocular pressure model animals were prepared and a drug

(test compound) was administered thereto.

- (i) A rat was set to a brain stereotaxic apparatus under anesthesia with 50 mg/kg, i.p. administration.
- (ii) The head of the rat was fixed and an eyeball was pricked with a 26-G needle attached to the tip of a tube, which was connected to a sphygmomanometry device, into the rat anterior chamber and a load was imposed so that the inner pressure of the anterior chamber was 140 to 160 mmHg.
  - (iii) As for the timing of the administration of a drug into the vitreous body, the drug was administered 15 minutes before the intra ocular pressure increasing load for pre-administration, while the drug was administered 15 minutes after the intra ocular pressure increasing load for post-

25

administration.

(iv) Increase in intra ocular pressure was

maintained for one hour, and the rat was relieved from the brain anchor after the intra ocular pressure loading and ordinarily bred for one week.

- (v) After sacrificed by suffocation with carbon dioxide, eyeballs were removed.
  - (vi) A paraffin section of the retina was prepared and dyed with 0.5% cresyl violet.
  - (vii) A histological image at a position 880  $\,$   $\mu m$  from the optic papilla (upper part of the retina)
- 10 was photographed and the thicknesses of the outer nuclear layer (ONL), inner nuclear layer (INL) and inner plexus layer (IPL) were measured.
  - (3) Preparation of a drug and administration method SPF-3059-1 as a test substance was dissolved
- in PBS to a concentration of 1 mg/ml and further diluted with PBS to 0.1 mg/ml immediately before use. 5  $\mu$ l of the diluted solution was administered to the vitreous body of the left eye of a rat with a 30G double needle.
- 20 (4) Significance test

Intra ocular pressure was increased by the method of above (2) and significance tests were conducted by Student's t-test and Welch & F-test on the retinal protection effect of SPF-3059-1 administration

25 in rats to which PBS was administered to the vitreous body.

# Pharmacological evaluation

Each of the thickness of outer nuclear layer,

inner nuclear layer and inner plexus layer was measured using the retina of the rats in each treatment group, and a cytoprotective effect was evaluated. The measured values were shown in Table 1 and Fig. 1 (ONL: outer nuclear layer), Table 2 and Fig. 2 (INL: inner nuclear layer) and Table 3 and Fig. 3 (IPL: inner plexus layer). In addition, a retinal section dyed with 0.5% cresylviolet was shown in Fig. 4.

Table 1

	Average (μm)	SD	SE
Sham ope	45.65	2.73	1.37
IOP increase load + PBS administration	45.47	4.16	2.08
IOP increase load + test substance pre-administration	45.79	5.67	2.83
IOP increase load + test substance post-administration	45.56	0.13	0.06

Table 2

	Average (μm)	SD	SE
Sham ope	33.41	3.71	1.86
IOP increase load + PBS administration	22.37	2.08	1.04
IOP increase load + test substance pre-administration	29.56	4.13	2.06
IOP increase load + test substance post-administration	27.89	7.81	3.91

Table 3

	Average (μm)	SD	SE
Sham ope	54.86	8.53	4.26
IOP increase load + PBS administration	26.03	6.88	3.44
IOP increase load + test substance pre-administration	46.89	11.50	5.75
IOP increase load + test substance post-administration	40.45	20.39	10.19

It is known that outer granular cells receive blood supply from the choroidal layer and understood that the cells do not suffer from ischemic damage of the ophthalmic artery due to increased intra ocular pressure. According to the results of Table 1 and Fig. 1, it was shown that the thickness of the outer nuclear layer was not affected by increased intra ocular pressure in the present examples. Based upon this, it can be confirmed that the section made in this experiment is adequately prepared.

It is known that inner granular cells receive blood supply from the ophthalmic artery and understood that the cells suffer from ischemic damage of the ophthalmic artery due to increased intra ocular pressure, which causes delayed cell death. According to the results of Table 2 and Fig. 2, it was observed that increased intra ocular pressure caused cell death of the inner granular cells and the cell layer in which the cells were present became thinner in the present

examples. Furthermore, it was shown that the inner granular cell death was suppressed and decrease of the thickness of the cell layer was suppressed significantly by pre-administration of SPF-3059-1. In addition, although no statistically significant difference was recognized, it was observed that the decrease of the thickness of the cell layer tends to be suppressed by post-administration of SPF-3059-1, and cell death suppression effect on inner granular cell death was considered.

10

Furthermore, the inner plexus layer is a layer where synapse formation between inner granular cells and gangliocytes is made, and the inner plexus layer is a layer where there are only axons extending from cell bodies. Since the inner granular cells 15 suffer from ischemic damage of the ophthalmic artery due to increased intra ocular pressure, it is known that the inner plexus, the axons thereof, does regress, and the inner plexus layer becomes thinner. phenomenon was reproduced in this example, and decrease of the thickness of the inner plexus layer due to increased intra ocular pressure was observed. Furthermore, it was shown that inner granular cell death was suppressed and decrease of the thickness of the inner plexus layer was suppressed significantly by 25 pre-administration of SPF-3059-1. In addition, although no statistically significant difference was recognized, it was observed that the decrease of the

thickness of the cell layer tends to be suppressed by post-administration of SPF-3059-1, and cell death suppression effect on inner granular cell death was considered.

Based on the above results, it was shown that SPF-3059-1 suppressed cell death of inner granular cells due to increased intra ocular pressure. In addition, the inner plexus layer is a place where inner granular cells and gangliocytes form synapses and contains axons of gangliocyte, and protection effect on gangliocyte was therefore also thought of.

In addition, it was shown that preadministration treatment with SPF-3059-1 had a
suppressing effect on the decrease of the thickness of
the inner granular cell layer and of inner plexus layer
statistically significantly. Furthermore, it was found
that post-administration treatment with SPF-3059-1 also
showed a suppressing tendency.

Based on the result described above, it has 20 been found in ophthalmopathy that SPF-3059-1 is effective for diabetic retinopathy in which ischemic damage of inner granular cells is suspected and glaucoma in which damage of gangliocyte is reported, etc.

25 Example 2

Pharmacological effect on an increased intra ocular pressure model

Test method

(1) Experimental animal

20 SD rats (7-week old) were used and divided into the following groups.

Sham operation: 4 rats

- 5 IOP (Intra Ocular pressure) treatment +PBS (5 μl was administered to the vitreous body): 4 rats
  IOP (Intra Ocular pressure) treatment + test compound (5 μl was pre-administered to the vitreous body): 4 rats
- 10 IOP (Intra Ocular pressure) treatment + test compound (5  $\mu$ l was post-administered to the vitreous body): 4 rats
  - (2) Increase in intra ocular pressure

Increased intra ocular pressure model animals

- 15 were prepared and a drug (test compound) was administered thereto by the similar process as in Example 1.
  - (3) Preparation of a drug and administration method SPF-3059-5 as a test substance was diluted
- 20 with PBS to 0.1 mg/ml immediately before use. 5  $\mu$ l of the diluted solution was administered to the vitreous body of the left eye of the rats with a 30G double needle.
  - (4) Significance test
- Intra ocular pressure was increased by the method of above (2) and significance tests were conducted by Student's t-test and Welch & F-test on the retinal protection effect of SPF-3059-5 administration

in rats to which PBS was administered to the vitreous body.

## Pharmacological evaluation

Each of the thickness of the outer nuclear

layer, inner nuclear layer and inner plexus layer was
measured using the retina of the rats in each treatment
group and the cytoprotective effect was evaluated. The
measured values were shown in Table 4 and Fig. 5 (ONL:
outer nuclear layer), Table 5 and Fig. 6 (INL: inner

nuclear layer) and Table 6 and Fig. 7 (IPL: inner
plexus layer). In addition, a retinal section dyed
with 0.5% cresylviolet was shown in Fig. 8.

Table 4

	Average (µm)	SD	SE
Sham ope	61.76	3.40	1.70
IOP increase load + PBS administration	61.03	4.41	2.21
IOP increase load + test substance pre-administration	60.29	3.80	1.90
IOP increase load + test substance post-administration	61.76	4.16	2.08

Table 5

	Average (µm)	SD	SE
Sham ope	36.76	5.63	2.82
IOP increase load + PBS administration	27.21	2.82	1.41
IOP increase load + test substance pre-administration	33.09	2.82	1.41
IOP increase load + test substance post-administration	36.76	1.70	0.85

Table 6

	Average (µm)	SD	SE
Sham ope	58.09	5.02	2.51
IOP increase load + PBS administration	38.24	7.20	3.60
IOP increase load + test substance pre-administration	55.88	7.20	3.60
IOP increase load + test substance post-administration	55.15	6.52	3.26

It is known that outer granular cells receive blood supply from the choroidal layer and understood that the cells do not suffer from ischemic damage of ophthalmic artery due to increased intra ocular pressure, and according to the results of Table 4 and Fig. 5, it was shown that the thickness of the outer nuclear layer was not affected by increased intra ocular pressure in the present examples. Based upon this, it can be confirmed that the section made in this

experiment is adequately prepared.

It is known that inner granular cells receive blood supply from ophthalmic artery and understood that the cells suffer from ischemic damage of ophthalmic artery due to increased intra ocular pressure, which causes delayed cell death. According to the results of Table 5 and Fig. 6, it was observed that increased intra ocular pressure caused cell death of the inner granular cells and the cell layer in which the cells 10 were present became thinner in the present examples. Furthermore, although no statistically significant difference was recognized, it was observed that decrease of the thickness of the cell layer tends to be suppressed by pre-administration of SPF-3059-5, and cell death suppression effect on inner granular cell 15 death was considered. In addition, cell death suppression effect by post-administration of SPF-3059-5 on inner granular cell death was shown statistically significantly.

layer where synapse formation between inner granular cells and gangliocytes is made, and the inner plexus layer is a layer where there is only axons extending from cell bodies. Since the inner granular cells suffer from ischemic injury of ophthalmic artery by increased intra ocular pressure, it is known that the inner plexus, the axial filaments thereof, does regress, and the inner plexus layer become thinner.

According to the results of Table 6 and Fig. 7, this phenomenon was reproduced in this example, and decrease of the thickness of the inner plexus layer by increased intra ocular pressure was observed. Furthermore, it was shown that decrease of the thickness of the inner plexus layer was suppressed significantly by preadministration and post-administration of SPF-3059-5, and considered that they protected a neurological function in the retina.

As a result of the above, it was shown that SPF-3059-5 suppressed cell death of inner granular cells due to increased intra ocular pressure. In addition, the inner plexus layer is a place where inner granular cells and gangliocytes form synapse and contains axons of gangliocyte and protection effect on gangliocyte was therefore also thought of.

In addition, it was shown that preadministration treatment with SPF-3059-5 showed
suppressing tendency for the inner granular cell layer

20 and had suppressing effect on the decrease of the
thickness of the inner plexus layer statistically
significantly. Furthermore, it was found that postadministration treatment with SPF-3059-1 also had
suppressing effect on the decrease of the thickness of

25 inner granular cell layer and the inner plexus layer
statistically significantly.

From the result described above, it has been found in ophthalmopathy that SPF-3059-5 is effective

for diabetic retinopathy in which ischemic injury of inner granular cells is suspected and glaucoma in which injury of gangliocyte is reported, etc.

Example 3

# 5 Production of compounds

Each compound of the present invention is a known compound and is disclosed by WO02/09756 or WO03/062243 and can be produced from SPF-3059 strain which is a fungus belonging to the genus Penicillium.

10 Production process and physico-chemical properties are described in the above international publication pamphlets. Specifically, the following compounds were respectively prepared.

(Compound SPF-3059-1)

15 Appearance: Yellow powder

High resolution fast atom bombardment mass spectrum

(HRFAB-MS) m/z (M + H) +:

Observed value: 579.0772

Calculated value: 579.0776

20 Molecular formula:  $C_{28}H_{18}O_{14}$  Ultraviolet visible absorption spectrum  $\lambda$  max (in methanol) nm( $\epsilon$ ):

241 (31,600), 315 (23,400), 365(16,500) Infrared absorption spectrum v max (KBr) cm<sup>-1</sup>:

25 3,400, 1,701, 1,615, 1,570, 1,457, 1,273

<sup>1</sup>H-NMR(500MHz, DMSO-d<sub>6</sub>)δppm:

2.28, 2.67, 2.69, 4.6-4.7, 5.02, 6.40, 6.91, 7.91, 8.52, 9.33,

11.1-11.6, 12.8

 $^{13}$ C-NMR(125MHz, DMSO-d<sub>6</sub>) $\delta$ ppm:

16.5, 17.0, 32.4, 56.2, 65.7, 68.0, 102.3,

104.2, 108.8, 110.1,

5 118.2, 118.5, 120.6, 122.2, 125.8, 127.7,

132.4, 134.9, 137.6, 139.1,

140.7, 140.8, 150.1, 150.2, 152.2, 153.8,

154.5, 156.3, 167.5, 167.6,

172.7, 172.8, 186.3, 199.1, 202.7, 202.9

## 10 (Tautomer)

(Compound SPF-3059-5)

Appearance: Cream-colored powder

High resolution fast atom bombardment mass spectrum

(HRFAB-MS) m/z (M + H)<sup>+</sup>:

15 Observed value: 577.0615

Calculated value: 577.0619

Molecular formula: C28H16O14

Ultraviolet visible absorption spectrum  $\lambda$  max (in

methanol)  $nm(\epsilon)$ :

229 (35,800), 284 (22,600), 322(21,000)

Infrared absorption spectrum v max (KBr) cm<sup>-1</sup>:

3,260, 1,684, 1,626, 1,567, 1,467, 1,288

5  $^{1}$ H-NMR (DMSO-d<sub>6</sub>) $\delta$ ppm:

2.53(3H,s), 2.55(3H,s), 6.93(1H,s),

6.96(1H,s), 8.17(1H,s),

8.53(1H,s), 9.5-13.0(6H)

 $^{13}$ C-NMR (DMSO-d<sub>6</sub>)  $\delta$ ppm:

29.1, 32.1, 102.26, 102.32, 109.9, 112.4,

119.6, 119.8, 120.3, 120.9,

126.3, 132.5, 133.4, 136.2, 141.2, 141.7,

150.4, 150.8, 152.1, 152.68,

152.73, 154.5, 167.4, 167.5, 172.5, 172.9,

15 199.1, 201.1

(SPF-3059-24)

Appearance: Cream-colored powder

Molecular weight: 532

Molecular formula: C<sub>27</sub>H<sub>16</sub>O<sub>12</sub>

20 Fast atom bombardment mass spectrum (FAB-MS) m/z (positive): 533 (M + H)<sup>+</sup>

Fast atom bombardment mass spectrum (FAB-MS) m/z (negative): 531 (M - H)<sup>+</sup>

High resolution fast atom bombardment mass spectrum  $HRFAB-MS \ m/z \ (M + H)^+$ :

5 Observed value: 531.0621

Calculated value:  $531.0564(C_{27}H_{17}O_{12})$ 

Ultraviolet visible absorption spectrum  $\lambda$  max (in methanol) nm( $\epsilon$ ):

212 (36,900), 229sh(34,500), 283 (26,300),

10 323(21,700)

Infrared absorption spectrum  $\nu$  max (KBr) cm<sup>-1</sup>:

3,447, 1,697, 1,629, 1,578, 1,470, 1,290  $^{1}\text{H-NMR}\left(\text{DMSO-d}_{6}\right)\delta\text{ppm}$ :

2.52(3H,s), 2.54(3H,s), 6.92(1H,s),

15 6.93(1H,s), 7.28(1H,s), 8.13(1H,s), 8.54(1H,s), 9.50-13.00(5H,brs)

 $^{13}\text{C-NMR} \text{ (DMSO-d}_6\text{)} \delta \text{ppm}$ :

29.1, 32.3, 102.3, 102.9, 107.9, 110.0,

115.8, 119.8, 120.4, 120.7, 126.5, 133.0, 133.3, 136.0,

20 141.2, 145.0, 150.4, 151.1, 152.2, 152.9, 153.0, 154.3, 167.5, 172.6, 173.6, 199.1, 201.1

Solubility: Insoluble in water, hexane and soluble in methanol, DMSO

Compounds SPF-3059-2, SPF-3059-12, SPF-3059-4, SPF-3059-25, SPF-3059-34, SPF-3059-6, SPF-3059-27, SPF-3059-26, SPF-3059-28, SPF-3059-7, SPF-3059-39, SPF-3059-37, SPF-3059-3, SPF-3059-35, SPF-3059-9, SPF-3059-29, SPF-3059-36 and SPF-3059-30 were prepared by the method described in Examples 1 and 14 of WOO2/09756.

Compounds SPF-3059-8, SPF-3059-16, SPF-3059-17, SPF-3059-19, SPF-3059-20, SPF-3059-22, SPF-3059-23, SPF-3059-38, SPF-3059-40 and SPF-3059-42 were also

10 prepared by the similar method as in Example 1 of W003/062243.

#### Example 4

#### Preparation Example

The following composition is suspended in a sterilized purified water in 100 ml, and eye drop can be prepared by adjusting pH 7.0 in a concentration isotonic to tears.

SPF-3059-1

50 mg

Potassium dihydrogen phosphate

proper

20

quantity

	Disodium hydrogenphosphate	proper
		quantity
	Common salt	proper
		quantity
5	Benzethonium chloride	10mg
	Sterilized purified water	proper
		quantity

## Example 5

# Preparation Example

The following composition is suspended in a sterilized purified water in 100 ml, and eye drop can be prepared by adjusting pH 7.0 in a concentration isotonic to tears.

	· ·	
	SPF-3059-5	50 mg
15	Potassium dihydrogen phosphate	proper
		quantity
	Disodium hydrogenphosphate	proper
		quantity
	Common salt	proper
20		quantity
	Benzethonium chloride	10mg
	Sterilized purified water	proper
		quantity

# Example 6

# 25 Preparation Example

According to a conventional method for eye ointment, eye ointment of the following formulation can be prepared.

SPF-3059-1

50 mg

Liquid paraffin

10 q

White petrolatum

quantity

proper

#### 5 Example 7

#### Preparation Example

According to a conventional method for eye ointment, eye ointment of the following formulation can be prepared.

10

SPF-3059-5

50 mg

Liquid paraffin

10 q

White petrolatum

proper

quantity

#### Example 8

# 15 <u>Pharmacological Test Example in a rat middle cerebral</u> <u>artery occlusion model</u>

Damage of cerebral nerve cells can be evaluated as the size of infarct region resulted by permanent middle cerebral artery occlusion or middle cerebral artery occlusion - reperfusion. It can be also quantified by the number of nerve fibers and the number of reproduced nerve fibers present in the infarct region or by the number of nerve fibers penetrating into the infarct region from a normal region, which can be used as indicators to know the degree of injury. Pharmacological effect of a therapeutic or preventive agent for ischemic cerebrovascular diseases having suppression effect can

be confirmed using these parameters as indicators. Test method

## (1) Administration of drug

SPF-3059-1 or SPF-3059-5 as a test substance is directly administered to the cerebral infarction region with a mini-osmotic pump (Alza). The test substance is diluted with PBS to 0.1 mg/ml and filled in a reservoir of a pump. PBS is filled in as a control. The pump is incubated in an isotonic sodium chloride solution at 37°C from 2 days before the operation to stabilize the flow rate.

#### (2) Operation

Pharmacological efficacy of the test
substances is evaluated in a permanent middle cerebral

15 artery occlusion model using a Stroke-Prone
Spontaneously Hypertensive Rat (SHRSP rat) and a middle
cerebral artery occlusion - reperfusion model using a
Wistar rat. It is performed after a cannula
implantation operation into the brain cortex parenchyma

20 for administering the test substance.

#### Cannula implantation operation

- (i) A rat is anesthetized with Halothane and fixed to a brain stereotaxic apparatus.
- (ii) Head skin is incised to expose the 25 skull.
  - (iii) A hole is cut open with a drill in the left skull, and a cannula connected to a mini-osmotic pump which is filled with a drug is implanted in the

left brain cortex parenchyma.

10

- (iv) The cannula is fixed to the skull with dental cement and a pump is inserted into the dorsal subcutis. The incised area is sewn up.
- 5 Permanent middle cerebral artery occlusion model

Permanent middle cerebral artery occlusion is caused in a SHRSP rat by the following method.

- (i) Muscle at the left side head is removed, temporal bone is drilled to expose middle cerebral artery.
- (ii) The middle cerebral artery is cauterized with a bipolar coagulator to block the blood flow.
- (iii) The wounded area of the rat is sewn up

  15 and revive it while maintaining the body temperature.

  Middle cerebral artery occlusion reperfusion model

Middle cerebral artery occlusion - reperfusion is caused in a Wistar rat by the following method.

- 20 (i) The cervical skin is incised to expose the carotid artery.
  - (ii) The outside carotid artery is ligated with a silk thread.
- (iii) A thin blood vessel connecting the 25 outer carotid artery and inner carotid artery is cauterized to cut off. The total carotid artery is ligated.
  - (iv) The blood flow of inner carotid artery

is temporarily stopped and a hole is opened at the branching site to the outer carotid artery and an embolus (nylon thread coated with silicon) is inserted.

- (v) The embolus is inserted further to the depth with a care so as not let it enter the sphenopalatine artery.
  - (vi) The embolus is ligated and fixed to the blood vessel.
- (vii) The wounded area of the rat is sewn up
  10 and revive it while maintaining the body temperature.
  - (3) Histological evaluation

As a pharmacological evaluation, the size of the infarct region dyed with TTC (abbreviation of 2,3,5-triphenyltetrazolium chloreide) and the number of immunostained nerve fibers and reproduced nerve fibers present in the infarct region are measured.

# Evaluation by TTC staining

15

- (i) The rat is perfused through the heart with a normal saline solution under anesthesia one week20 after the operation.
  - (ii) The brain is removed and a section having a thickness of 2 mm was prepared with a brain slicer and attached on a cover glass with a quick setting adhesive.
- 25 (iii) The section attached on the cover glass is immersed in 0.8% TCC/PBS solution at 37°C for 10 minutes and dyed.
  - (iv) It is transferred to a 10% formalin

buffer at 4°C and dyeing is terminated.

(v) A stereoscopic microscope photograph is taken, converted to a digital file with a computer and the size of the cerebral infarction is measured by an image analysis software.

## Evaluation by immunostaining

- (i) The rat is perfused through the heart with PBS under anesthesia one week after the operation and then perfused and fixed with 4%
- 10 paraformaldehyde/PBS solution.
  - (ii) The brain is removed and post-fixed with a fixative for post-fix for one night and stored while soaking in 30% sucrose/PBS solution at 4°C.
- (iii) A section having a thickness of 40  $\mu m$   $\,$  15 was prepared with a cryostat and collected as a section floating in TBS.
- (iv) It is immunostained by ABC method and a slide is prepared. As for antibody, an antineurofilament antibody is used as an indicator of nerve fiber and an anti-GAP-43 antibody is for used as an indicator of regenerating nerve fibers.
- (v) A picture of infarct region was taken under a microscope, converted to a digital file with a computer and the number of nerve fibers and reproduced nerve fibers and the number of fibers penetrating into the infarct region from a normal region are quantified by an image analysis software.

#### INDUSTRIAL APPLICABILITY

The compound of the present invention having a semaphorin inhibitory activity which is obtained by culturing Penicillium sp. SPF-3059 strain shows suppressing effect on nerve cell death involved in ischemic injury and therefore can be advantageously used as a therapeutic or preventive agent for retinal neuropathy associated with ischemic injury such as glaucoma, central retinal artery occlusion, branch 10 retinal artery occlusion, central retinal vein occlusion, branch retinal vein occlusion, ischemic optic neuropathy, diabetic retinopathy, macular degeneration and retinopathy of prematurity, and as a therapeutic or preventive agent for ischemic cerebrovascular disorders such as cerebral embolism, 15 transient cerebral ischemia, subclavian steal syndrome, Wallenberg syndrome (lateral medullary syndrome), cerebral thrombosis, lacunar infarct, reversible ischemic neurological deficit, cerebral infarction, moyamoya disease (occlusion of the circle of Willis), hypoxic encephalopathy, sinus venosus thrombosis or postoperative spinal cord ischemia.